

General information about company

Scrip code	500009
NSE Symbol	
MSEI Symbol	
ISIN	INE432A01017
Name of the company	Ambalal Sarabhai Enterprises Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	26	23571244			23571244	30.76	23571244		23571244	30.76
(B)	Public	160290	53062052			53062052	69.24	53062052		53062052	69.24
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	160316	76633296			76633296	100	76633296		76633296	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				30.76					23571244
(B)	Public				69.24					40130009
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					63701253

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	344724			344724	0.45	344724		344724	0.45
(d)	Any Other (specify)	22	23226520			23226520	30.31	23226520		23226520	30.31
Sub-Total (A)(1)		26	23571244			23571244	30.76	23571244		23571244	30.76
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		26	23571244			23571244	30.76	23571244		23571244	30.76
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	4332			4332	0.01	4332		4332	0.01
(f)	Financial Institutions/ Banks	14	2712			2712	0	2712		2712	0
(g)	Insurance Companies	2	1700			1700	0	1700		1700	0
Sub-Total (B)(1)		17	8744			8744	0.01	8744		8744	0.01
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	158878	24336800			24336800	31.76	24336800		24336800	31.76
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	167	11670810			11670810	15.23	11670810		11670810	15.23
(e)	Any Other (specify)	1228	17045698			17045698	22.24	17045698		17045698	22.24
Sub-Total (B)(3)		160273	53053308			53053308	69.23	53053308		53053308	69.23
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		160290	53062052			53062052	69.24	53062052		53062052	69.24
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		160316	76633296			76633296	100	76633296		76633296	100
Total (A+B+C)		160316	76633296			76633296	100	76633296		76633296	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.45					344724
(d)				30.31					23226520
Sub-Total (A) (1)				30.76					23571244
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				30.76					23571244
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.01					4332
(f)				0					1292
(g)				0					0
Sub-Total (B) (1)				0.01					5624
(3)	Non-institutions								
(a(i))				31.76					11694506
(a(ii))				15.23					11670810
(e)				22.24					16759069
Sub-Total (B) (3)				69.23					40124385
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				69.24					40130009
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					63701253
Total (A+B+C)				100					63701253

Individuals/Hindu undivided Family					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	Mohal Kartikeya Sarabhai	Samvit Kartikeya Sarabhai	Kartikeya Vikram Sarabhai	Mallika Vikram Sarabhai	Click here to go back
PAN (II)	ACVPS6841C	ALXPS5815K	ALXPS5817M	ALXPS5816L	Total
No. of fully paid up equity shares held (IV)	51387	44540	123142	125655	344724
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	51387	44540	123142	125655	344724
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.07	0.06	0.16	0.16	0.45
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	51387	44540	123142	125655	344724
Class eg:y					
Total	51387	44540	123142	125655	344724
Total as a % of Total Voting rights	0.07	0.06	0.16	0.16	0.45
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.07	0.06	0.16	0.16	0.45
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					

Number of equity shares held in dematerialized form (XIV)	51387	44540	123142	125655	344724
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter	Promoter	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Ashavari Investments Pvt. Ltd. (IVL)	Bhadrapad Investments Pvt. Ltd.(IVL)	Talimi Investments Pvt. Ltd (IVL)	Todirag Holdings Pvt. Ltd.(IVL)	Rajka Designs Pvt. Ltd	Leena Investments Pvt. Ltd.(IVL)	Koshalya Investments Pvt. Ltd. (IVL)
PAN (II)	AAACA4417E	AAACB2441F	AAACT5634Q	AAACT2112R	AAACR5477P	AAACL2702P	AAACK6175D
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	182513	157920	163323	185675	163850	128217	456722
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	182513	157920	163323	185675	163850	128217	456722
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.24	0.21	0.21	0.24	0.21	0.17	0.6
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	182513	157920	163323	185675	163850	128217	456722
Class eg:y							
Total	182513	157920	163323	185675	163850	128217	456722
Total as a % of Total Voting rights	0.24	0.21	0.21	0.24	0.21	0.17	0.6
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.24	0.21	0.21	0.24	0.21	0.17	0.6
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	182513	157920	163323	185675	163850	128217	456722
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)							
Serial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Kanda Investments Pvt. Ltd. (IVL)	Khamaj Investments Pvt. Ltd. (IVL)	Jonpuri Investments Pvt. Ltd. (IVL)	Himalaya Investments Pvt. Ltd. (IVL)	Sarabhai Holdings Pvt. Ltd	Sarabhai Management Corp P. Ltd	Sahayog Investments Pvt. Ltd. (IVL)
PAN (II)	AAACK4615N	AAACK4614P	AAACJ1960F	AAACH3827K	AAECS6919B	AACCS0925P	AACCS0920J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	209288	159086	178667	1911	19303972	91634	161011
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	209288	159086	178667	1911	19303972	91634	161011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.27	0.21	0.23	0	25.19	0.12	0.21
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	209288	159086	178667	1911	19303972	91634	161011
Class eg:y							
Total	209288	159086	178667	1911	19303972	91634	161011
Total as a % of Total Voting rights	0.27	0.21	0.23	0	25.19	0.12	0.21
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.27	0.21	0.23	0	25.19	0.12	0.21
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	209288	159086	178667	1911	19303972	91634	161011
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)							
Serial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	Medicinal Drugs Mfg. Pvt. Ltd. (IVL)	Madhavbag Holdings Pvt. Ltd. (IVL)	Mrigank Investments Pvt. Ltd. (IVL)	Yudhisthar Investments Pvt. Ltd. (IVL)	Vasantbahar Investments Pvt. Ltd.	Vaishakhi Investments Pvt. Ltd. (IVL)	Bilawal Investments Pvt. Ltd. (IVL)
PAN (II)	AACCM2915K	AABCM1020A	AABCM1019R	AAACY0538L	AAACV1875H	AAACV1873B	AAACB2440E
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	157716	178651	178669	469305	200989	181561	157920
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+(VI)	157716	178651	178669	469305	200989	181561	157920
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	0.23	0.23	0.61	0.26	0.24	0.21
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	157716	178651	178669	469305	200989	181561	157920
Class eg:y							
Total	157716	178651	178669	469305	200989	181561	157920
Total as a % of Total Voting rights	0.21	0.23	0.23	0.61	0.26	0.24	0.21
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.21	0.23	0.23	0.61	0.26	0.24	0.21
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	157716	178651	178669	469305	200989	181561	157920
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)		
Serial No.	22	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Adana Investments Pvt. Ltd. (IVL)	
PAN (II)	AAACA6852H	Total
No. of the Shareholders (I)	1	22
No. of fully paid up equity shares held (IV)	157920	23226520
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	157920	23226520
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	30.31
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	157920	23226520
Class eg:y		
Total	157920	23226520
Total as a % of Total Voting rights	0.21	30.31
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.21	30.31
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	157920	23226520
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Trusts
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)		Navtech Farm Products Private Limited	Monet Securities Private Limited	Ontime Hire Purchase and Agencies Pvt Ltd	Caplin Viniyog Private Limited		
PAN (II)		AAACN8470E	AABCM7058A	AAACO6519M	AABCC3907C		
No. of the Shareholders (I)	581	1	1	1	1	410	2
No. of fully paid up equity shares held (IV)	15497050	3646167	2437256	3295625	4222789	440641	25039
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15497050	3646167	2437256	3295625	4222789	440641	25039
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.22	4.76	3.18	4.3	5.51	0.57	0.03
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	15497050	3646167	2437256	3295625	4222789	440641	25039
Class eg:y							
Total	15497050	3646167	2437256	3295625	4222789	440641	25039
Total as a % of Total Voting rights	20.22	4.76	3.18	4.3	5.51	0.57	0.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.22	4.76	3.18	4.3	5.51	0.57	0.03

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	15363633	3646167	2437256	3295625	4222789	287429	25039
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)		
Serial No.	8	
Category	HUF	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	235	1228
No. of fully paid up equity shares held (IV)	1082968	17045698
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1082968	17045698
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.41	22.23
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1082968	17045698
Class eg:y		
Total	1082968	17045698
Total as a % of Total Voting rights	1.41	22.23
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.41	22.23
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	1082968	16759069
Reason for not providing PAN		
Reason for not providing PAN		

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence