

General information about company

Scrip code	500009
NSE Symbol	
MSEI Symbol	
ISIN	INE432A01017
Name of the entity	Ambalal Sarabhai Enterprises Limited
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Half Yearly
Date of Report	30-09-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																	
Whether the listed entity has a Regular Chairperson													Yes				
Whether Chairperson is related to MD or CEO													No				
Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	
KARTIKEYA VIKRAM SARABHAI	ALXPS5817M	00313585	Executive Director	Chairperson related to Promoter		27-11-1947	NA		30-07-1992	01-04-2018		30	1	0	1	0	
ASHWIN P HATHI	AAHPH6998R	00326488	Non-Executive - Independent Director	Not Applicable	Shareholder Director	23-08-1940	Yes	27-09-2018	24-06-2010	27-09-2018		24	1	1	1	1	
CHANDRASHEKHAR BOHRA	AABPB8716F	00055288	Non-Executive - Independent Director	Not Applicable	Shareholder Director	09-09-1972	Yes	27-09-2018	13-06-2013	27-09-2018		24	2	1	1	2	
ANILKUMAR HARILAL PAREKH	AGEPP3253G	00312504	Non-Executive - Non Independent Director	Not Applicable		18-10-1948	No		04-05-2005	26-12-2017		33	1	0	0	0	

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)
5	Ms	CHAULA MAHENDRAPRASAD SHASTRI	AHCPS7251C	06404118	Executive Director	Not Applicable		19-01-1959	NA		16-10-2012	01-04-2018		30	1	0	0
6	Mr	MOHANDAS K NAIR	ABNPN4426B	00312802	Non-Executive - Independent Director	Not Applicable	Shareholder Director	12-05-1948	No		03-03-2011	27-09-2018	20-08-2020	22	1	1	2
7	Mr	MAYUR K SWADIA	AFMPS4208P	01237189	Non-Executive - Independent Director	Not Applicable	Shareholder Director	24-05-1961	No		20-08-2020			2	2	2	2

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00326488	ASHWIN P HATHI	Non-Executive - Independent Director	Member	27-09-2018		
2	00312802	MOHANDAS K NAIR	Non-Executive - Independent Director	Member	27-09-2018	20-08-2020	
3	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Chairperson	27-09-2018		
4	01237189	MAYUR K SWADIA	Non-Executive - Independent Director	Member	20-08-2020		Textual Information(1)

Sr Text Block

Textual Information(1)

Appointed as an Additional Independent Director w.e.f. 20-08-2020

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00326488	ASHWIN P HATHI	Non-Executive - Independent Director	Chairperson	27-09-2018		
2	00312802	MOHANDAS K NAIR	Non-Executive - Independent Director	Member	27-09-2018	20-08-2020	
3	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Member	27-09-2018		
4	01237189	MAYUR K SWADIA	Non-Executive - Independent Director	Member	20-08-2020		Textual Information(1)

Sr Text Block

Textual Information(1)

Appointed as an Additional Independent Director w.e.f. 20-08-2020

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Chairperson	27-09-2018		
2	00313585	KARTIKEYA VIKRAM SARABHAI	Executive Director	Member	01-04-2018		
3	06404118	CHAULA MAHENDRAPRASAD SHASTRI	Executive Director	Member	01-04-2020		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1		22-07-2020			Yes	5	2
2		20-08-2020	28		Yes	5	2
3		30-09-2020	40		Yes	6	3

Annexure 1**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	21-07-2020				Yes	2	2
2	Audit Committee	20-08-2020	29			Yes	3	3
3	Nomination and remuneration committee	21-07-2020				Yes	2	2
4	Nomination and remuneration committee	20-08-2020	29			Yes	2	2

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Mr. Damodar H. Sejpal
2	Designation	Company Secretary and Compliance Officer

Annexure III**III. Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Annexure III

1	Name of signatory	Mr. Damodar H. Sejpal
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	Mr. Damodar H. Sejpal
Designation of person	Company Secretary and Compliance Officer
Place	Ahmedabad
Date	09-10-2020

